



# A guide to linked HES-ONS mortality data

August 2011

# Version History

| Version<br>Number | Changes   | Date Issued |
|-------------------|---|-------------|
| 1                 |   | 14/Dec/2010 |
| 1.1               | Modified Appendix C to include algorithm history details  | 01/Mar/2011 |
| 2                 | Updated text on linkage methodology, match ranks and death record used Added illustration of linkage methodology Updated match rank and death record used charts with latest data Added diagram to explain death record used Updated HES-ONS date of death comparison table with latest data Modified the frequency and timelines table | 05/Aug/2011 |
| 2.1               | Modified text on 'Linkage Methodology' and 'Matching ONS data to HES' sections.  Minor formatting/syntax corrections  | 23/Aug/2011 |

# Contents

| Introduction  | 4    |
|---|------|
| Linkage methodology   | 5    |
| Rules used to obtain unique records for deaths in hospital            | 7    |
| Matching ONS data to HES  | 7    |
| Merging ONS and HES mortality data                                    | 9    |
| Flagging patients in mortality master with subsequent activity in HES | . 12 |
| Mortality records of patients having more than one HESID              | . 12 |
| Accessing the data  | . 13 |
| Frequency and timelines for receiving and publishing data             | . 14 |
| Appendix A – Notes on valid data values                               | . 15 |
| Appendix B – Fields in the mortality master                           | . 16 |
| Appendix C – Algorithm history  | . 17 |
| Glossary  | . 18 |

# Introduction

Hospital Episode Statistics (HES) data contains clinical information on patients' hospital activity and treatment such as diagnoses mentions and procedures. It also includes information on the circumstances under which a patient was admitted (such as elective, emergency etc) or discharged (discharged with clinical consent, died etc). Information on patients who die in hospital can be analysed on the basis of primary diagnosis but HES data alone cannot be used to identify the cause of death. In addition, HES data cannot be used to obtain information on patients who died after discharge from hospital.

The Office of National Statistics' (ONS) mortality data is a richer source of information on deaths than HES, with data on the place of death and the original underlying cause of death which takes into account information provided by medical practitioners and/or coroners. Linking ONS mortality data to HES data permits the analysis of deaths in and outside hospital for all patients with a record in HES. It is also a rich source of data for analysis on a wide range of subjects including outcomes of hospital care such as postoperative mortality (deaths within 'n' days of surgery) and tracking performance of health care providers and outcomes of treatment over time.

# Linkage methodology

The ONS mortality data is linked to HES by matching person identifiable data in the ONS mortality dataset with patient identifiers in HES (the HESID index). The linkage process results in assigning a unique HES patient identifier (HESID) to the ONS death record. The HESID is present in all HES datasets (Accident & Emergency, Admitted Patient Care and Outpatients), enabling patients to be tracked in a confidential way. 'Replacement of the HES Patient ID', available on the <u>HESID matching algorithm</u> page on HESonline, provides detailed information on creation of HESIDs for patients. [http://www.hesonline.nhs.uk/Ease/servlet/ContentServer?siteID=1937&categoryID=735]

The latest processed HES data is always used for linkage. It should be noted that only ONS records that can successfully match to a patient in HES are included in the linked dataset. ONS records that cannot be matched are rejected and given opportunity to match every subsequent month when the latest death registrations from ONS are available.

An ONS mortality record can match to a HESID based on 8 different criteria (refer to the section <u>'Matching ONS data to HES'</u> in this document on page 7). If an ONS record matches to more than one HESID the best quality match is selected. The process results in the assignment of a unique HES patient identifier (HESID) to the ONS death records.

The ONS records that have been linked to HES are later merged (refer to the section 'Merging ONS and HES mortality data' in this document on page 9) with death records from the HES admitted patient care (APC) dataset. These records are identified by the hospital discharge method - 'dead'. This is done to ensure that death records of all patients who were discharged dead from hospital and not available in the ONS dataset or that could not be linked to the ONS dataset are made available in the linked mortality dataset (mortality master).

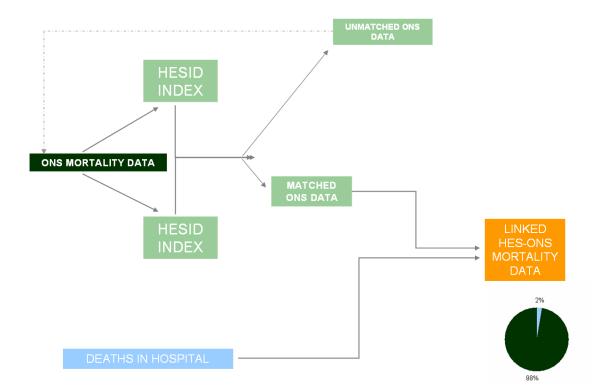


Figure 1 below is a high level illustration of the linkage process.

The latest data from both HES and ONS is always used for linkage. HES data is always cumulative for the current financial year. This can cause some activity records to change or disappear when providers of healthcare modify or remove submitted data from a previous month of the same financial

year. Further, an annual refresh of the provisional HES data is produced to provide a finalised version of the provisional monthly data.

The linkage methodology uses ONS mortality data based on registered deaths. Registered deaths are deaths that were registered in a period as opposed to death occurrences which occurred in that period. Registered deaths are available on a monthly basis and therefore are timelier compared to death occurrences. Sometimes the year in which a death is registered may not be the same as the year in which a death occurred such as when a coroner's investigation is required e.g. if a death is suspicious. Until a coroner's investigation is completed, a death cannot be registered.

ONS publishes mortality data based on calendar year of death registration. Just as in HES, ONS provisional data has not been subject to full quality assurance and may not contain all deaths which were registered or which occurred during the period. Deaths which occurred in a given year may be registered in a subsequent year. The linkage process remains open to accept these registrations so figures are subject to change. Figures may also change due to occasional upgrades to the linkage algorithm to enhance the way it operates. Changes to the algorithm are detailed in Appendix C of this document. For more information on the data periods that get linked, refer to the section 'Frequency and timelines for receiving and publishing data' in this document on page 14.

Further, an annual refresh of ONS data is received each year covering the most recent calendar year, to provide a finalised position on the monthly data. On reconciling the monthly and annual refreshed ONS mortality data for the calendar year 2009, it was observed that there was less than 1% difference in data, implying the coverage and quality of the submitted monthly data is high.

Figure 2: Counts of deaths received from ONS for i) monthly data ii) annual refresh data for the calendar year 2009. The count is broken down by month of registration of death.

# 60,000 50,000 40,000 20,000 10,000 Jan-09 Feb-09 Mar-09 Apr-09 May-09 Jul-09 Aug-09 Sep-09 Oct-09 Nov-09 Dec-09 annual refresh provisional data

#### Comparison of 2009 ONS mortality annual refresh against provisional data

#### Rules used to obtain unique records for deaths in hospital

Each month a query is run against the latest cumulative HES data within the current data year to obtain deaths in hospitals. In the unlikely event that the same HESID is included in more than one death record, all but one of the records with the same HESID are removed. The following rules are applied seguentially to determine which records are deleted:

- 1. Delete all records sharing the same HESID except the one with the latest discharge date (and with a valid episode end date [EPIEND]).
- 2. If duplicates still remain, delete all records sharing the same HESID except the one with the latest submission date.
- 3. If duplicates still remain, delete all records sharing the same HESID except the one with the highest unique episode identifier [EPIKEY].

#### Matching ONS data to HES

The mortality data received from ONS each month is matched with patient data in HES. As a result most ONS death records are assigned a unique HES patient identifier (HESID), while the others are rejected. It is to be noted that ONS data for a particular month is available before HES data for the same month (refer to the section 'Frequency and timelines for receiving and publishing data' in this document on page 14). Every subsequent month the rejected ONS records get a chance to match again with the HESID index. This ensures that the unmatched ONS records are not permanently rejected. It also allows any HES records that have changed in subsequent monthly submissions another chance to link to ONS records.

The match rank obtained from the HES-ONS linkage process is an indication of the level of confidence that an ONS death record has been correctly matched to a patient in HES. Matching is performed by comparing patient identifiable fields, such as date of birth, sex, NHS number and/or postcode, which are present in both HES and ONS. The lowest rank (1) is considered the best quality match and the higher rank (8) the lowest quality match.

The match ranks used are as follows:

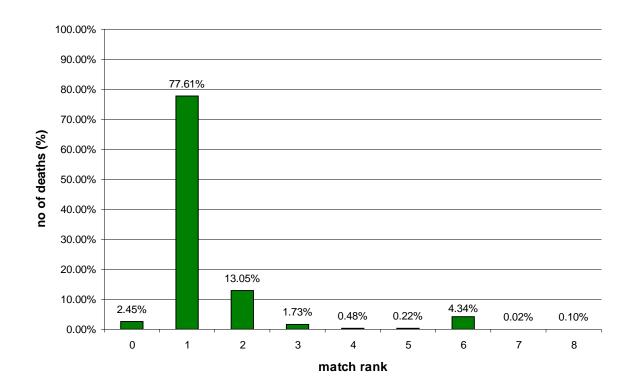
- Match rank 1: Exact match of DOB, SEX, NHSNO and POSTCODE; if no match is found then
- Match rank 2: Exact match of DOB, SEX, NHSNO; if no match is found then
- Match rank 3: Partial match of DOB (refer to Appendix A) and exact match of SEX, NHSNO and
- POSTCODE; if no match found then
- Match rank 4: Partial match of DOB, and exact match of SEX, NHSNO; if no match found then
- Match rank 5: Exact match of POSTCODE and NHSNO; if no match found then
- Match rank 6: Exact match of DOB, SEX and POSTCODE where NHSNO does not contradict the match and DOB is not 1 January and the POSTCODE is not in the 'ignore' list (communal establishments such as hospitals, prisons, army barracks, etc).
- Match rank 7: Exact match of DOB, SEX and POSTCODE where NHSNO does not contradict the match and DOB is not 1 January.
- Match rank 8: Exact match of DOB, SEX and POSTCODE where DOB is not 1 January.

Figure 3 below is an illustration of the fields used in for each matching criteria.

|   |       |     |     |         | RANKS |
|---|-------|-----|-----|---------|-------|
|   | инѕио | DOB | SEX | HOMEADD | 1     |
|   | NHSNO | DOB | SEX | HOMEADD | 2     |
|   | инѕио | DOB | SEX | HOMEADD | 3     |
|   | инѕио | DOB | SEX | HOMEADD | ] 4   |
|   | NHSNO | DOB | SEX | HOMEADD | 5     |
| Χ | инѕио | DOB | SEX | HOMEADD | 6     |
| Χ | инѕио | DOB | SEX | HOMEADD | 7     |
|   | инѕио | DOB | SEX | HOMEADD | 8     |

Depending on the steps used during the HESID matching, it is possible for an ONS mortality record to match more than one HESID. The record with the best match rank is always used in the mortality master. It is highly unlikely that all matches have the same match rank. In the absence of a definitive way of deciding which match is best, the inconsistency is tolerated and the single HESID is applied to each death record. Match rank 0 indicates that the record is taken from HES only, because an ONS record has not matched with the corresponding HESID, or the death record is not available in the ONS dataset.

Figure 4, below, shows the percentage of records corresponding to each match rank in the mortality master in May 2011. This is obtained by linking the mortality data in ONS from January 1998 to February 2011 and the HESID Index updated with HES data until January 2011.



#### Merging ONS and HES mortality data

The deaths recorded in HES and the ONS mortality data are merged to create the mortality master file so that there is only one record per patient. The death record used (DRU), which is a derived field in the mortality master, indicates the source of each death record in the mortality master file. There are five distinct values (HES1, HES2, ONS1, ONS2, and MIX1) for DRU. Table 1 outlines the meaning of these values and indicates which record should take preference under a range of scenarios. The record that does not take preference is removed from the final data.

| Table 1: Explanation of death record used in the mortality master file |  |      |                   |   |  |  |
|--|--|------|-------------------|---|--|--|
| Source   | ONS mortality date                           | DRU  | Match<br>rank     | Death record from   |  |  |
| ONS only   | n/a  | ONS2 | 1<br>through<br>8 | Use full ONS-mortality – implies that the death occurred outside hospital and was recorded only in ONS  |  |  |
| HES only   | n/a  | HES1 | 0                 | Use full HES-mortality – indicates those people who died in hospital and the death was recorded only in HES at the time ONS data was compiled |  |  |
| Both   | > 3 days after HES-<br>mortality date        | HES2 | 1<br>through<br>8 | Use full HES-mortality (where contradictory death information is present HES takes precedence)  |  |  |
| Both   | 1–3 days inclusive after HES-mortality date  | MIX1 | 1<br>through<br>8 | Use ONS mortality record, but date of death from HES (proximity of dates suggests slight recording error)                                     |  |  |
| Both   | 0–3 days inclusive before HES-mortality date | ONS1 | 1<br>through<br>8 | Use full ONS mortality (implies delay in patient leaving hospital when dead)  |  |  |
| Both   | > 3 days before HES-<br>mortality date       | HES2 | 0                 | Use full HES-mortality (where contradictory death information is present HES takes precedence)  |  |  |

If DRU is ONS2, it means that the death record was present only in ONS at the time of linkage, indicating that these deaths happened outside of hospital. If DRU is HES1, it indicates that the death record was present only in HES at the time of linkage. Many deaths initially recorded with only a HES-generated mortality record (DRU = HES1) often get updated with an ONS record at a later date. This might be due to the delays in registering the death as in the case of inquests by a coroner. When there is a matching ONS record the DRU changes in the mortality master from HES1 to ONS1/MIX1/HES2, depending on the date of death in ONS. The death record that is low in preference is removed from the mortality master.

ONS mortality records contain a richer set of information about the death than HES records. Where HES and ONS mortality records share the same HESID and date of death, the ONS record takes preference (DRU = ONS1). In cases where the ONS mortality data is used in the mortality master (DRU = ONS1, ONS2 or MIX1), the match rank will always be between 1 and 8. In all cases where HES mortality data is used (DRU = HES1 or HES2) in the mortality master, the match rank is recorded as 0 as no matching data is present in ONS (DRU = HES1) or the data in ONS is of too poor a quality to create a match (DRU = HES2).

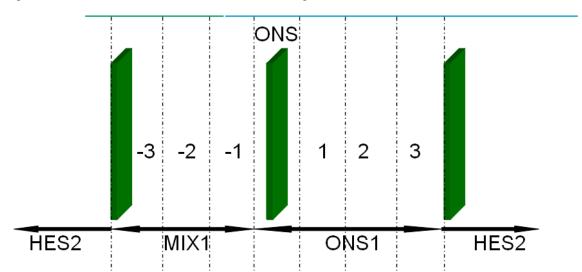
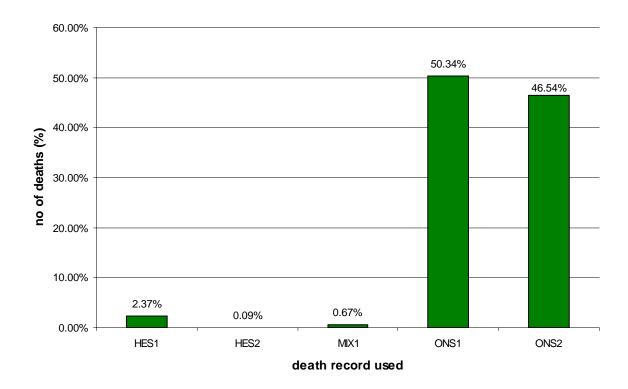


Figure 5 below illustrates how DRU is defined using the ONS date of death as the reference.

Figure 6, below, illustrates the percentage of records corresponding to each death record used in the mortality in May 2011. This is obtained by linking the mortality data in ONS from January 1998 to February 2011 and the HESID Index updated with HES data until January 2011.



From Figure 6 we can infer that 46.5% of deaths in the ONS dataset have no death record in HES and 51% of deaths are recorded both in HES and ONS. Additionally, 2.4% of deaths are recorded only in HES, although it is mandatory that every death should be registered within five days of occurrence. Some of the reasons for deaths not appearing in ONS may be:

- Deaths referred to coroners for inquests.
- Provisional monthly ONS data, which is not yet finalised.

 The HES and ONS record not linking successfully due to missing or inaccurately recorded patient identifiers.

When a patient dies in hospital, the discharge date is taken as the date of death. Table 2 shows the difference between the ONS date of death and the HES date of death. This table is based on the linked mortality data as on May 2011.

TABLE 2: Comparison of dates of death in HES and ONS based on linked mortality data as on May 2011

| Difference in days | Number    | %      | Description                          |  |
|--------------------|-----------|--------|--------------------------------------|--|
| < 3                | 15,138    | 0.48%  |                                      |  |
| -3                 | 709       | 0.02%  | HES date of death before ONS date of |  |
| -2                 | 1,834     | 0.06%  | death                                |  |
| -1                 | 39,327    | 1.25%  |                                      |  |
| 0                  | 2,963,205 | 94.40% | same date of death in both           |  |
| 1                  | 107,837   | 3.44%  |                                      |  |
| 2                  | 5,697     | 0.18%  | HES date of death after ONS date of  |  |
| 3                  | 2,071     | 0.07%  | death                                |  |
| > 3                | 3,124     | 0.10%  |                                      |  |
|                    | 3,138,942 |        |                                      |  |

Table 2 shows that while the vast majority of dates of death within the two datasets agree there is a significant minority where the dates are different. There may be a number of reasons for this:

- The patient may have died late at night and the hospital were unable to record the discharge until the next day
- The patient may have died on a previous day but was not released until tests were performed on a subsequent day
- There was a data input error, meaning that the discharge date was incorrect.

#### Flagging patients in the mortality master with subsequent activity in HES

On rare occasions patients may appear to have activity in HES after the mortality record indicates that they have died. This is a data quality issue, either in the patient identifiers (causing an incorrect data linkage between HES and ONS), or due to a patient being incorrectly recorded in HES. Version 1.12 of the HES-ONS mortality linkage algorithm, flags these records as having activity in HES after the date of death (refer to Appendix C for more information on changes made to the HES-ONS linkage algorithm).

These flagged records are available to customers of the extract service. They are flagged and not removed because it is possible that the activity was incorrectly recorded in HES – for example, where a patient had an outpatient appointment, but died before the appointment, resulting in the data being incorrectly sent by the patient administration system (PAS). Often, such records appear in the monthly HES publications, but disappear after the HES annual refresh, as providers correct their submissions. In these cases the flag will be removed once the submission is corrected. Analysis performed in January 2010 on the mortality master showed that there were 35,300 HESIDs with activity dates in HES after the ONS date of death (~0.6% of mortality records since 1998).

### Mortality records of patients having more than one HESID

Hospital activity data submitted by providers of healthcare is, at times, incomplete or incorrect. This can cause the system to create new HESIDs for patients who already have a HESID, resulting in multiple HESIDs for some patients. The HESID creation process underwent changes in the past which resulted in the creation of a unique HESID for some patients with multiple HESIDs.

In the mortality linkage process we deal with such HESIDs by maintaining separate death records in the mortality master for both new and old HESIDs, but with the same mortality data. Therefore duplicates are intentionally maintained in the mortality master. The reason for retaining duplicate records is that users of the mortality data can link it to HES data extracts taken at different points of time, even if the patient's HESID has changed over time.

# Accessing the data

Customers can request extracts of ONS-HES linked mortality data from the NHS IC using the application forms available at the following link on HESonline: <a href="Data request application forms">Data request application forms</a> [http://www.hesonline.nhs.uk/Ease/servlet/ContentServer?siteID=1937&categoryID=1381].

If the ONS-HES linked data extract is required for health-related use (approved under NHS Act 2006), the application form named 'Appendices A1-A3: ONS/HES Linked Data' should be used to request the data. The document contains three forms:

- 'Appendix A1: Extract Specification Form' is used to specify the data years and ONS mortality data fields required.
- 'Appendix A2: ONS Data Access Agreement' is used to request access to ONS data. It is sent to the ONS for approval via the NHS IC's internal information governance team.
- 'Appendix A3: ONS Data Access form for additional users' extends access for other users within the organisation.

Customers that require ONS-HES linked data for uses not approved under the NHS Act 2006 should complete 'Appendix D: ONS MRP Customer Request Form'. When the request involves sensitive or personally identifiable data as part of the extract, it has to be approved by the Microdata Release Panel (MRP) before it can be processed. Users will have to declare what the information is to be used for and that the information will be kept secure and not passed to persons not specifically declared. This section of the process is managed by ONS and has different timescales, which customers will be advised to check.

Appendix B of this document shows the list of fields that are made available through the extract service. The release of information has separate terms and conditions which are outlined in the forms. The completed forms should be sent to the NHS IC at <a href="mailto:enquiries@ic.nhs.uk">enquiries@ic.nhs.uk</a>. For help on completing the application forms customers could contact the NHS IC using the email address above or by calling 0845 300 6016. There are different types of extracts which customers can request; aggregated information is dependent on timescales. The specific details of these extracts can be found at the following link on HESonline: <a href="Request a tailor-made report">Request a tailor-made report</a>

[http://www.hesonline.nhs.uk/Ease/servlet/ContentServer?siteID=1937&categoryID=1342].

# Frequency and timelines for receiving and publishing data

HES and ONS mortality data is refreshed every month, with a subsequent annual refresh of the full year's data. The annual refresh period is a financial year for HES data and calendar year for ONS data. On a monthly basis the NHS IC receives cumulative activity data for the current financial year from HES, whereas it receives just one month's worth of data from ONS.

The provisional monthly ONS mortality data predominantly contains deaths which were registered in the past two months and a few from earlier periods. This ensures that up-to-date information on deaths registered with ONS is available for linkage with deaths recorded in HES. The annual refresh of ONS mortality data contains all deaths registered in the calendar year and has been subject to quality assurance. It is usually available in the second half of the subsequent calendar year for linkage with HES.

NHS trusts and other healthcare organisations submit activity data every month, which is then run through a series of data quality checks and cleaning procedures before it is published. The calendar for submission dates for providers is available at the following page on HESonline: <a href="Data provider submission deadlines">Data provider submission deadlines</a>

[http://www.hesonline.nhs.uk/Ease/servlet/ContentServer?siteID=1937&categoryID=229]. Due to the time taken for submission and processing there is a lag of three to four months before monthly HES data is published. The annual refresh of the HES admitted patient care dataset is usually published around 6 months after end of the financial year.

Table 3: the latest available linked HES-ONS mortality data as of May 2011

| Linked data availability | Provisional HES   | ONS Mortality data         |
|--------------------------|---|----------------------------|
|                          | 1. Jan-11 Hospital Activity (APC)   | Registrations: ~50% Mar-11 |
|                          | 2. Submitted in Mar-11 (post-recon)   | and ~95% Feb               |
| May-11                   | 3. HESIDs processed in Apr-11   | Death occurrence: ~40% Mar |
|                          | <ul><li>4. Mortality linkage in Apr-11</li><li>5. HES publication in May-11</li></ul> | and ~90% Feb deaths        |
|                          |   |                            |

The table above shows that hospital activity (HES) data for January 2011 is submitted by end of March 2011 and processed in April 2011. This is the latest available HES data that will be used for linking to ONS mortality data in April 2011. The latest available ONS mortality data at this point in time is around 50% of March 2011 death registrations. We can work out based on this that at the beginning of July 2011, the linked HES-ONS mortality data is expected to contain death registrations from January 1998 to May 2011 linked to March 2011 hospital activity data...

# Appendix A – Notes on valid data values

The algorithm compares a range of fields to match records in ONS with that in HES. To aid data quality, the data is subjected to a range of validation rules to ensure that records are valid and in the correct format.

#### A date of birth is valid if:

- it is not null
- it is a valid date
- it is no earlier than 1895/01/01
- it is not later than the end of the current data year.

#### An NHS number is valid if:

- it is not null
- it consists of exactly ten digits
- the ten digits are not all the same
- it is not of the format 'n00000000n' (where the first and last digits are the same)
- it is not the dummy/default value '2333455667'
- the check digit is correct.

## A postcode is valid if:

- it is not null
- · it is exactly eight characters long
- it is of the format AXXX\_9AA, AXX\_9AA, or AX\_9AA, where A is any upper-case alphabetic character (A–Z), X is any upper-case alphanumeric character (A–Z, 0–9), 9 is any digit (0\_9), and \_ is a space
- it does not start with 'ZZ'.

In addition to the validation checks detailed above, there are a number of further criteria that are applied to the data.

#### **Local patient ID**

For matching purposes, all zeros and spaces are removed from local patient identifiers, which cover local PAS or case note numbers.

#### Date of birth partial matching

Criteria applied to the data are:

- Neither DOB is 1901/01/01
- Neither DOB is 1899/12/31
- The two DOB values are no more than 14 years apart
- The two DOB values are the same
- or two components (ie YYYY, MM, DD) of the two DOB values match
- or two components of the two DOB values match when the MM and DD parts of one of them are swapped.

# Appendix B – Fields in the mortality master

The list of fields from the mortality master which customers could request using the extract service is given below.

| Appendix B: Fields in the mortality master                      |  |  |  |
|---|--|--|--|
| Field name  | Description  |  |  |
| pseudo_hesid  | This field uniquely identifies a patient across all data years. It is generated by matching records for the same patient using a combination of NHS number, local patient identifier, provider code, postcode, sex and date of birth. Customers who request an extract will receive a unique version of the PSEUDO_HESID called the EXTRACT_HESID. |  |  |
| dod   | Date of death  |  |  |
| resstha   | Strategic health authority of usual residence of deceased  |  |  |
| respct  | Primary care trust of usual residence of deceased  |  |  |
| sex   | Sex  |  |  |
| communal_establishment  | Place of death code 00001–99999 / H = home / E = elsewhere   |  |  |
| nhs_indicator   | Indicates whether the communal establishment code refers to an NHS establishment, referring to the physical building rather than the service.  1 = NHS establishment 2 = Non-NHS establishment   |  |  |
| cause_of_death  | Underlying cause of death  |  |  |
| cause_of_death_non_neonatal_1 to cause_of_death_non_neonatal_15 | Cause of death mentions for non-neonatal deaths (deaths occurring after 28 days of life)   |  |  |
| match_rank  | Indicates the strength of the match between the ONS and HES records.   |  |  |
| death_record_used   | Indicates whether the death record in the mortality master was taken from ONS or HES   |  |  |
| subsequent activity   | If activity is recorded in HES after the date of death the date of this activity will be displayed in this field   |  |  |

# Appendix C – Algorithm history

| Appendix C: | Algorithm history   |                        |
|-------------|---|------------------------|
| Version     | Key amendments  | Date                   |
| 1.1         | Dealing with new ONS records for patients already in the MV_HESID_MORTALITY table. Find records for that HESID already in MV_HESID_MORTALITY. If present and its DOR (date of death registration) is NULL, and the DOR for the new linked record is not NULL then, delete the old record from MV_HESID_MORTALITY. The current logic gives preference to the new ONS feed based on Date of Death by linking to the patient having valuable fields such as cause of death, even if the match is weaker than an existing one. A software correction has been made to ensure that the best match is chosen. If there are two records with the same match rank, then an update only takes place if the new DOD is later.   | 28 May<br>2008         |
| 1.2         | Demoted match ranks 6 and 7 and added new match rank 6:  Match rank 6 – Exact match of date of birth, sex and postcode where NHS number does not contradict the match and date of birth is not 1 January and the postcode is not in the 'ignore' list.  Match rank 7 – Exact match of date of birth, sex and postcode where NHS number does not contradict the match and date of birth is not 1 January (i.e. the same as old match rank 6).  Match rank 8 – Exact match of date of birth, sex and postcode where date of birth is not 1 January (i.e. the same as old match rank 7)  | 28 May<br>2008         |
| 1.3         | As Date of Registrations (DOR) contained within the data file can span across previous data files, the logic which removes all rows from ONS_MORTALITY between min (DOR) and max (DOR) cannot be used. This is because it could potentially delete rows loaded from previous data files which cannot happen. The current deletion logic has been removed and necessary changes have been made to ensure that the software continues to perform in the same way as when the data files did not contain date of registration's spanning previous data files.  | 28 May<br>2008         |
| 1.7         | Previously unmatched ONS records to be processed each month along with the latest months mortality data from ONS  | 14 May<br>2009         |
| 1.12        | Dealing with hospital activity after date of death: (software change 1) Update latest activity date in hospital for every patient in HES from historic and current year's HES data. (software change 2) All death records (ONS and HES) that have activity in HES after date of death are no more deleted. These records are flagged with the latest activity date from HES.  | 19<br>January<br>2010  |
| 1.14        | Inclusion of ONS mortality data for deaths registered in 1998, 1999 and 2000 where underlying cause of death and cause of death mentions are recorded in ICD – 9. All columns relating to cause of death mentions were widened from 4 to 5 characters to accommodate ICD-9 codes from this period   | 24 May<br>2010         |
| 1.16        | If an ONS death record matches to more than 1 HES ID, the best match is selected for use  | 19 August<br>2010      |
| 1.18        | Mortality processing for in-hospital deaths did not use HESIDs from the source table, instead created a patient key from the identifiable fields and compared it with the HESID Index to get corresponding HESIDs. While processing poor quality data submissions where a valid patient key could not be formed, no matching HESID could be obtained form the HESID Index. The process unfortunately did not use the Patient_HESIDS_Unmatched table. Hence in-hospital deaths for poor quality submissions were missing in the Mortality Master.  To correct this, the HESIDs will be directly taken from the source schema for every death episode irrespective of the quality of the submission. The mortality linkage algorithm will no more try to re-create patient keys and match with the HESID Index. | 05<br>November<br>2010 |

# Glossary

A&E Accident and emergency

Death record used This field indicates whether the death record in the HES-ONS linked

dataset is from HES or ONS

EPIEND Date when an episode ended

EPIKEY Unique identifier for each episode recorded in HES

HES Hospital Episode Statistics – the central repository of NHS activity

information including admitted patient care (APC) or inpatient (IP),

outpatient

HESID Anonymised unique identifier for a patient in HES.

HESID index

The central reference table that maps individual patient keys to HESID or

PSEUDO HESID.

IP Inpatients: patients who were admitted to hospitals, also known as the

admitted patient care (APC) dataset.

LOPATID Local patient identifier, unique only for patients within the trust.

Match rank

A number that indicates the quality of match between a death record in

ONS and a patient in HES, 1 being the highest quality and 8 the lowest.

Mortality master The linked dataset obtained by combining mortality data from ONS and

HES.

NHS IC NHS Information Centre for health and social care – provides accessible,

high quality and timely information to help frontline health and social care

staff deliver better care.

NHSNO Unique identifier for a patient within the NHS.

ONS The Office for National Statistics.

PAS Patient administration system. Healthcare providers enter data through

these systems which ends up in HES.

Patient key A pseudonymised version of the HESID. Customers who request HES-

ONS linked extracts get only the PSEUDO\_HESIDs.

Postoperative mortality Deaths after surgery (could be in or outside hospital).

PSEUDO\_HESID A pseudonymised version of the HESID. Customers who request HES-

ONS linked extracts get only the PSEUDO\_HESIDs.

SUS Secondary Uses Service: the single source of comprehensive data to

enable a range of reporting and analysis needs. The data from the providers of healthcare come directly to SUS before they are extracted

into HES.